

USPTO  
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DIVISION

FKC-100US

2008 MAR 28 AM 10:44

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Appln. No: 09/640,103  
Applicant: Patrick McErlean  
Filed: August 15, 2000  
Title: CLASSIFICATION OF ELECTRONIC MESSAGES USING A HIERARCHY OF  
RULE SETS  
TC/A.U.: 2143  
Examiner: Alina A. Boutah  
Docket No.: FKC-100US

OR



Patent No:  
Issued:

**REQUEST FOR REFUND**

(Improper Charge of Deposit Account or Credit Card)

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**I. REFUND REQUEST**

- ☐ This is a request for refund with respect to the charge to **Deposit Account No.** 18-0350 shown on the statement dated \_\_\_\_\_ for the above-identified ☐ application/☐ patent.

OR

- ☒ This is a request for refund with respect to the charge to **Credit Card Account No.** [REDACTED] 006 shown on the statement dated **March 20, 2008** for the above-identified ☒ application/☐ patent.
- ☒ Accompanying this request is a copy of the monthly statement on which the referred error is listed.

**II. FEES CHARGED FOR WHICH REFUND IS REQUESTED**

		Amount of Refund Requested	
<input type="checkbox"/>	Filing Fee	\$	0
<input type="checkbox"/>	Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
<b>AND/OR</b>			
<input type="checkbox"/>	Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$	0
<input checked="" type="checkbox"/>	Extension of time - 4 months	\$	820
<input type="checkbox"/>	Excess claims	\$	0
<input type="checkbox"/>	Issue Fee	\$	0
<input type="checkbox"/>	Petition Fee	\$	0
<input type="checkbox"/>	Patent Maintenance Fee -            year	\$	0
<input type="checkbox"/>	Patent Maintenance Fee Surcharge	\$	0
<input checked="" type="checkbox"/>	Other (please explain) Appeal Brief Filed as a large entity	\$	255
<b>TOTAL REFUND REQUESTED</b>		<b>\$</b>	<b>1075</b>

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

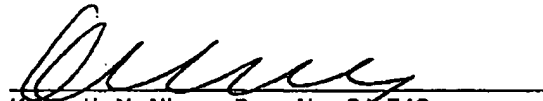
Applicant filed the application as a small entity. On March 5, 2008, Applicant filed an Appeal Brief Under 37 C.F.R. § 41.37 and a Petition For Extension (4 months) as a large entity. Accordingly a total refund in the about of \$1075.00 is requested.

**IV. MANNER OF REFUND**

Please make refund by:

- ☐ crediting Deposit Account No. 18-0350.
- ☒ crediting Credit Card Account No. 3785-715216-31006.
- ☐ refunding payment.

Respectfully submitted,



Kenneth N. Nigon, Reg. No. 31,549  
Attorney(s) for Applicant(s)

KNN/pb

☒ Attachment: Copy of the monthly statement on which the referred error is listed

Dated: March 27, 2008

☒ P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

☐ P.O. Box 1596  
Wilmington, DE 19899  
(302) 778-2600

PB\_H:\WRPORTBL\RP\PCBOCCELLA\265142\_1.DOC

LOAD NUMBER: 090638  
CONTROL ACCOUNT NUMBER: 3785-902655-91000  
CORPORATE ID: 832788  
SEQUENCE NUMBER: 695902650803

PATRICIA KELLY  
RATNERPRESTIA

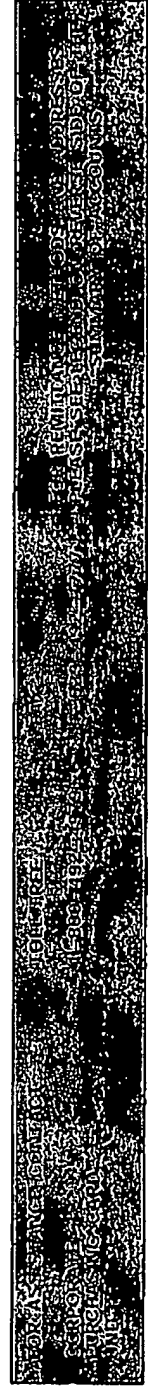
COPY CORPORATE PURCHASING CARD BILLING STATEMENT CC-1583



TERMS: PAYABLE IN FULL WITHIN 14 DAYS AS PER YOUR CORPORATE CONTRACT	DUE DATE: 04/03/08
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## TRANSACTION DETAILS

ACCOUNT NUMBER ACCOUNT NAME	SPENDING LIMIT/TYPE	EMPLOYEE ID/COST CENTER UNIVERSAL #	SERVICE ESTABLISHMENT NAME/ADDRESS	PROCESSING DATE	CURRENT ACTIVITY
3785-719216-31006 PATRICIA BOCCCELLA	\$9,000/TRN \$100,000/MTH	ID: 0	PREVIOUS BALANCE CORPORATE REMITTANCE RECEIVED 02/27  USPTO 000000001 ALEXANDRIA VA 899999980 09640103 22314 03/04/08 CM REF: 09640103 SUPPLIER REF: 99999998066	08/03/04	\$4,420.00 \$4,420.00-
			USPTO 000000001 ALEXANDRIA VA 899999980 12066196 22314 03/07/08 CM REF: 12066196 SUPPLIER REF: 99999998071	08/03/07	\$1,140.00
			USPTO 000000001 ALEXANDRIA VA 899999980 10698646 22314 03/10/08 CM REF: 10698646 SUPPLIER REF: 99999998072	08/03/10	\$460.00
			USPTO 000000001 ALEXANDRIA VA 899999980 61035608 22314 03/11/08 CM REF: 61035608 SUPPLIER REF: 99999998073	08/03/11	\$210.00



## Electronic Patent Application Fee Transmittal

**Application Number:**

09640103

**Filing Date:**

15-Aug-2000

**Title of Invention:**

Classification of electronic messages using a hierarchy of rule sets

Refund Ref:  
03/31/2008

0030053115

Credit Card Refund Total: \$1075.00

Am Exp.: XXXXXXXXXXXX1006

**First Named Inventor/Applicant Name:**

Patrick McErlean

**Filer:**

Lawrence E. Ashery/Patricia Boccella

**Attorney Docket Number:**

FKC-100US

Filed as Large Entity

### Utility Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
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**Basic Filing:**

**Pages:**

**Claims:**

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

Filing a brief in support of an ap

1402

1

510

510

**Post-Allowance-and-Post-Issuance:**

**Extension-of-Time:**

Adjustment date: 03/31/2008 HDESTA1  
 03/03/2008 INTFSM 00000923 09640103  
 01 FC:1254 -1640.00 OP  
 02 FC:1402 -510.00 OP  
 03/31/2008 HDESTA1 00000457 09640103  
 01 FC:2254 820.00 OP  
 02 FC:2402 255.00 OP

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 4 months with \$0 paid	1254	1	1640	1640
Miscellaneous:				
Total in USD (\$)				2150